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PTO/SB/21 (08-00)

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TRANSMITTAL FORM (To be used for all correspondence after initial filing)	Application Number	10/068,569
	Filing Date	February 6, 2002
	First Named Inventor	Srinivasa M. Srinivasula
	Group Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
	Attorney Docket No.	480140.475

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input checked="" type="checkbox"/> Cited References (13) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Postcard <input type="checkbox"/> Additional Enclosure(s) (please identify below): _____ _____ _____ _____
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Individual Name	William T. Christiansen, Ph.D.	 00500 PATENT TRADEMARK OFFICE
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Srinivasa M. Srinivasula et al.
Application No. : 10/068,569
Filed : February 6, 2002
For : A CONSERVED XIAP-INTERACTION MOTIF IN CASPASE-9
AND SMAC/DIABLO FOR MEDIATING APOPTOSIS

Docket No. : 480140.475
Date : April 23, 2002

Commissioner for Patents
Washington, DC 20231

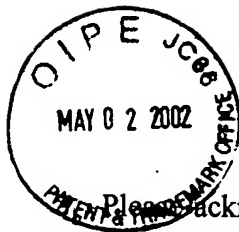
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached Form PTO-1449 (copies of the cited references are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method.

Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

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Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

William T. Christiansen, Ph.D.
Registration No. 44,614

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Enclosures:

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Form PTO/SB/21
Form PTO-1449
Cited References (13)

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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
480140.475APPLICATION NO.
10/068,569

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANTS

Srinivasa M. Srinivasula et al.

FILING DATE

February 6, 2002

GROUP ART UNIT

Not Yet Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
			YES NO

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AA	Adams and Cory, "The Bcl-2 Protein Family: Arbiters of Cell Survival," <i>Science</i> 281: 1322-1326, August 28, 1998.
AB	Chai et al., "Structural and biochemical basis of apoptotic activation by Smac/DIABLO," <i>Nature</i> 406: 855-862, August 24, 2000.
AC	Datta et al., "XIAP Regulates DNA Damage-induced Apoptosis Downstream of Caspase-9 Cleavage," <i>The Journal of Biological Chemistry</i> 275(41): 31733-31738, October 13, 2000.
AD	Deveraux and Reed, "IAP family proteins - suppressors of apoptosis," <i>Genes & Development</i> 13: 239-252, 1999.
AE	Du et al., "Smac, a Mitochondrial Protein that Promotes Cytochrome c-Dependent Caspase Activation by Eliminating IAP Inhibition," <i>Cell</i> 102: 33-42, July 7, 2000.
AF	Green and Reed, "Mitochondria and Apoptosis," <i>Science</i> 281: 1309-1312, August 28, 1998.
AG	Irmeler et al., "Inhibition of death receptor signals by cellular FLIP," <i>Nature</i> 388: 190-195, July 10, 1997.
AH	Liu et al., "Structural basis for binding of Smac/DIABLO to the XIAP BIR3 domain," <i>Nature</i> 408: 1004-1008, December 2000.
AI	Pandey et al., "Negative regulation of cytochrome c-mediated oligomerization of Apaf-1 and activation of procaspase-9 by heat shock protein 90," <i>The EMBO Journal</i> 19(16): 4310-4322, 2000.
AJ	Saleh et al., "Negative regulation of the Apaf-1 apoptosome by Hsp70," <i>Nature Cell Biology</i> 2: 476-482, August 2000.
AK	Saleh et al., "Cytochrome c and dATP-mediated Oligomerization of Apaf-1 Is a Prerequisite for Procaspase-9 Activation," <i>The Journal of Biological Chemistry</i> 274(25): 17941-17945, 1999.
AL	Srinivasula et al., "FLAME-1, a Novel FADD-like Anti-apoptotic Molecule That Regulates Fas/TNFR1-induced Apoptosis," <i>The Journal of Biological Chemistry</i> 272(30): 18542-18545, July 25, 1997.
AM	Verhagen et al., "Identification of DIABLO, a Mammalian Protein that Promotes Apoptosis by Binding to and Antagonizing IAP Proteins," <i>Cell</i> 102: 43-53, July 7, 2000.

EXAMINER

DATE CONSIDERED

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